## **Informed Consent**

#### Chii-Min Hwu, M.D.

Section of General Medicine Department of Medicine Taipei Veterans General Hospital

## **Objectives**

- Define informed consent
- Discuss the development of the informed consent document
- Discuss the informed consent process

## What is Informed Consent?

<u>A process</u> by which a subject voluntarily confirms his or her willingness to participate in a trial, after having been informed of all aspects of the trial.

The process may include subject recruitment materials, verbal instructions, questions/answer sessions and measures of subject understanding.

#### **Historical Issues**

#### 1947 Nuremberg Code



THE DECLARATION OF HELSINKI



1964 Declaration of Helsinki

#### 1996 ICH GCP Guidelines

#### Importance of Informed Consent

 To assure that participation is voluntary and that the rights, welfare and safety of subjects are protected.

• The consent form document serves as confirmation of the consent process.

## 研究者的責任 (Site Responsibilities)

- 協調受試同意書送審及通過之過程
- 取得受試者簽署之受試同意書
- 受試同意書版本若有更改,須取得受試者簽署
   更改後的受試同意書
- 保存受試者簽署之受試同意書

#### Development of Informed Consent Document

- The content of the informed consent is defined by
  - ICH-GCP required elements
  - Country specific legal/regulatory requirements
  - Sponsor specific requirements
  - Study specific requirements

## ICH Required Elements of Informed Consent

- Trial involves research
- Purpose of trial
- Trial treatment, probability for random assignment
- Trial procedures, including invasive procedures
- Subject's responsibilities
- Aspects of trial which are experimental
- Foreseeable risks/ inconveniences

## ICH Required Elements of Informed Consent

- Reasonably expected benefits
- Alternative treatments/procedures and potential risks and benefits
- Compensation/treatment for trial related injury
- Anticipated prorated payment, if any
- Voluntary participation may refuse to participate or withdraw without loss of benefits
- Access to medical records by monitor, auditors, IRBs/IECs, regulatory authorities.

## ICH Required Elements of Informed Consent

- Confidentiality of subject's records
- Subjects will be informed if new relevant information becomes available
- Contact information for information about trial, right of trial subjects, trial-related injury
- Circumstances under which participation may be terminated
- Expected duration of subject's participation
- Approximate number of number of subjects involved in trial

#### 受試者同意書

JIRB NO.: \_\_\_\_\_

<b>计畫名稱:</b>			
執行單位:			-
主持人;			
電話:			
自顺受试者姓名:			
性别;	出生日期:	病歷號碼:	
通讯地址:			
電話:			
緊急聯絡人:(計畫主持人	() 監:	则者:(廠商連絡人)	
電話:	電力	<b>4</b> :	
夜間連絡電話;	夜日	間連絡電話:	
傳真: 1. 採敘述式書寫,文字內	19.7	處 :	
(3)試驗方法可能導致之 (4)可能導致之危險及其 (5)預期試驗效果及利益 (6)其他可能之治療方法	處理方法		
<ul> <li>(4)可能導致之危险及其</li> <li>(5)預期試驗效果及利益</li> <li>(6)其他可能之治療方法</li> <li>(7)緊急狀況之處理</li> <li>(8)參加未研究計畫受益</li> <li>3.考工少包含下列字句:本</li> <li>於試驗過程中可隨時撤回</li> </ul>	·處理方法 ·及其說明 ·者費用負擔, 損害 計畫社行機構將律:	昭信,個人權益,機密性。 夏受试者在试验過程中應祥之權並	受
<ul> <li>(4)可能導致之危险及其</li> <li>(5)預期試驗效果及利益</li> <li>(6)其他可能之治療方法</li> <li>(7)緊急狀況之處理</li> <li>(8)条加未研究計畫受益</li> <li>3.歩工少包含下列字句:本</li> </ul>	·處理方法 ·及其說明 ·者費用負擔, 損害 計畫社行機構將律:	夏受试者在试验過程中應得之權品	受
<ul> <li>(4)可能導致之危险及其</li> <li>(5)預期試驗效果及利益</li> <li>(6)其他可能之治療方法</li> <li>(7)緊急狀況之處理</li> <li>(8)参加未研究計畫受益</li> <li>3 歩工少包含下列字句:本 於試驗過程中可隨時撤回</li> <li>計畫主持大</li> </ul>	·處理方法 ·及其說明 (者費用負擔, 損害 訂查執行機構將律) 同意,還出試驗	夏受试者在试验過程中應得之權品	受
<ul> <li>(4)可能導致之危险及其</li> <li>(5)預期試驗效果及利益</li> <li>(6)其他可能之治療方法</li> <li>(7)緊急狀況之處理</li> <li>(8)参加未研究計畫受益</li> <li>3 歩工少包含下列字句:本 於試驗過程中可隨時撤回</li> <li>計畫主持大</li> </ul>	·處理方法 ·及其說明 (者費用負擔, 損害 訂查執行機構將律) 同意,還出試驗	<b>夏受试者在试验過程中應祥之權</b> 並	ę
<ul> <li>(4)可能導致之危险及其</li> <li>(5)預期試驗效果及利益</li> <li>(6)其他可能之治療方法</li> <li>(7)緊急狀況之處理</li> <li>(8)參加本研究計畫受益</li> <li>3.夢王少包含下列字句:本</li> <li>於試驗過程中可隨時撤回</li> <li>計畫主持入</li> <li>姓名: 醫約</li> </ul>	處理方法 及其說明 (者費用負擔, 損害 計畫也行機構將錄) 同意,送出試驗	<b>夏受试者在试验過程中應祥之權</b> 並	ę
<ul> <li>(4)可能導致之危险及其</li> <li>(5)預期試驗效果及利益</li> <li>(6)其他可能之治療方法</li> <li>(7)緊急狀況之處理</li> <li>(8)參加本研究計畫受益</li> <li>3.夢王少包含下列字句:本</li> <li>於試驗過程中可隨時撤回</li> <li>計畫主持入</li> <li>姓名: 醫約</li> </ul>	- 處理方法 - 及其說明 : : : : : : : : : : : : : : : : : : :	夏受试者在试验過程中應祥之權並 簽名日期: 簽名日期:	· @
<ul> <li>(4)可能導致之危险及其</li> <li>(5)預期試驗效果及利益</li> <li>(6)其他可能之治療方法</li> <li>(7)緊急狀況之處理</li> <li>(8)參加未研究計畫受加</li> <li>3 步工少包含下列字句:本</li> <li>於試驗過程中可隨時撤回</li> <li>計畫主持大</li> <li>姓若: </li> <li></li> <li></li></ul>	·處理方法 ·及其說明 (者費用負擔, 損害 計畫執行機構將律) 同意,送出試驗 發名: 	夏受试者在试验過程中應祥之權並 簽名日期: 簽名日期:	
<ul> <li>(4)可能導致之危险及其</li> <li>(5)預期試驗效果及利益</li> <li>(6)其他可能之治療方法</li> <li>(7)緊急狀況之處理</li> <li>(8)參加未研究計畫受加</li> <li>3 步工少包含下列字句:本</li> <li>於試驗過程中可隨時撤回</li> <li>計畫主持大</li> <li>姓若: </li> <li></li> <li></li></ul>	<ul> <li>處理方法</li> <li>:及其說明</li> <li>(者費用負擔,損害 計畫於行機構將錄)</li> <li>(消費,這出試驗</li> <li></li></ul>	夏受試者在試驗過程中應祥之權並	

## **Informed Consent**

#### IRB & 衛生署審核通過

■版本日期,不可隨意更動.

#### 

試驗目的及方法、副作用、 效益 、其他治療方式 及其權 利義務等.

受試者/主持人須同一天簽名
 正本由主持人保存, 複(印)本
 交由受試者保存.

簽署同意書及載明日期



#### **Informed Consent Document**

#### Site Responsibilities

- Review informed consent document for completeness
- Determine if contact information is preprinted
- Identify the master informed consent document and designate a storage location for the consent form master

#### **Informed Consent Document**

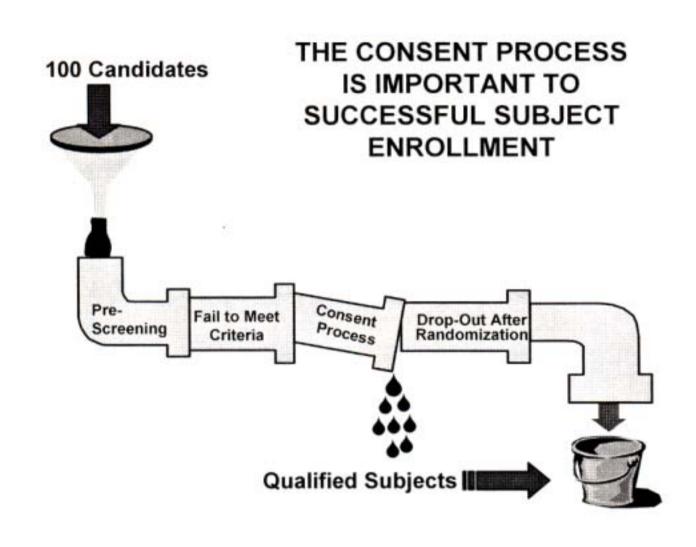
#### Site Responsibilities

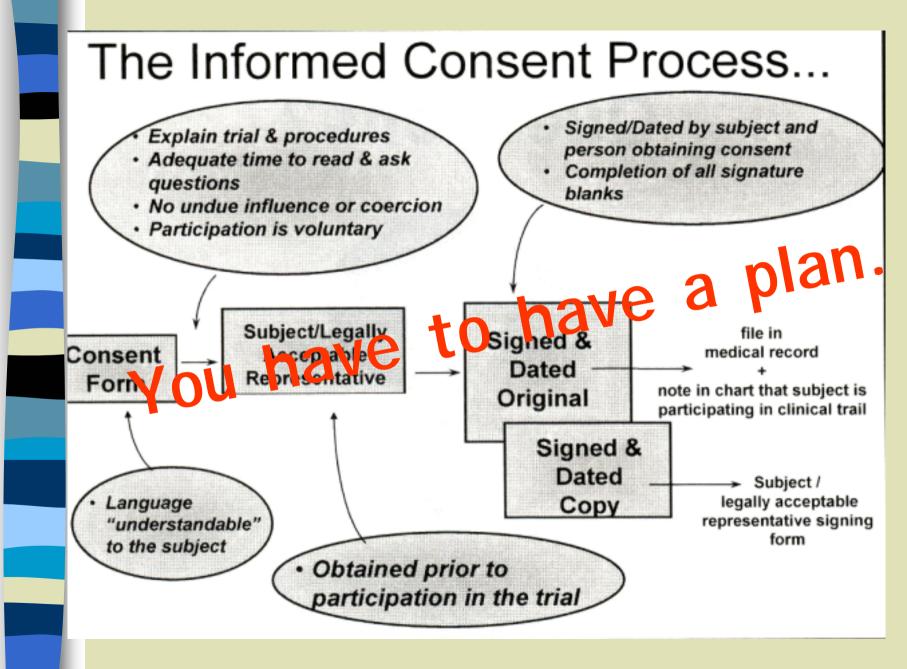
- Prepare copies
- Check completeness of copied versions
- Ensure availability of copying machine if copy of informed consent document will be given to subject.

#### **The Informed Consent Process**

Informed consent is more than just a signature on the informed consent document.

It is a <u>Process</u> to give subjects opportunity to agree to participate





- Identify the obstacles to subject participation and ways to overcome the obstacles
  - -Transportation
  - -Family
  - -Work
  - -Number of visits
  - -Number of blood draws

• I dentify words subject may not understand in consent form

 Compile list of question the subjects may ask about the study and appropriate responses

- Decide who will conduct consent discussion
  - -Investigator may obtain consent
  - -Investigator may delegate responsibility to a *knowledgeable* person.
  - -Investigator is ultimately responsible for assuring informed consent has been appropriately obtained

- Decide where consent discussion will be held
  - -Conduct in a quiet area
  - -Subject should have adequate uninterrupted time
  - -Easy access to a study doctor
  - -Provide space for family members or friends to be present during the consent discussion

- Provide adequate time to explain the study and study procedures to the subject
- Provide adequate time for subject to read and consider
- Provide time for questions to be answered

#### WHO CAN SIGN THE CONSENT?

十、 同意與簽章

研究人員已詳細解釋有關本研究計畫之目的、性質與研究方法,及可能產生之危險 與利益。

研究計畫主持人\_\_\_\_\_(簽章)日期:\_\_\_\_\_ 解釋人(研究醫師或指定代理人)\_\_\_\_\_(簽章)日期:\_\_\_\_

本人已詳細瞭解上述研究方法及參與本計畫之優點與潛在危險性。有關本試驗計畫 之疑問,業經計畫主持人或指定代理人詳細予以解釋。本人同意接受為本研究計劃之 自願受試者。

受試者簽章\_\_\_\_\_ 日期:\_\_\_\_\_

法定代理人(受試者於法律上屬無行為能力人及獲禁治產權宣告者) 簽章:\_\_\_\_\_\_\_日期:\_\_\_\_\_

口頭同意之見證

茲證明研究人員已完整地向受試者解釋本研究內容與相關細節。

見證人簽名	日期:

# When Should Informed Consent Be Obtained?

- Obtain consent <u>after approval</u> by Sponsor and IRB/IEC/Agency
- Obtain consent prior to altering care of the subject for purpose of participating in research study
- Obtain consent prior to initiation of any clinical procedures that are performed solely for purpose of determining eligibility for research

#### Documentation of Informed Consent Process

- Check that contact information is complete on original and copy given to subject
- Provide subject with a copy of the signed and dated informed consent document or second signed and dated original
- File the original signed and dated informed consent document in the subject file
- Document study participation in medical records of subject

#### Updates to the Informed Consent Document

- The consent document may be revised when:
  - -Protocol amendment(s) are necessary
  - -New relevant safety information becomes available
  - -New information becomes available that might influence the subject's decision to participate/continue in the clinical study

## Provide Information to the subject Correct timing Answer all questions Sign and date by subject Sign & date by person administering consent **Organize filing**